



OFFICE OF THE CHANCELLOR

February 12, 2007

The Honorable Thomas V. Mike Miller, Jr.  
Co-Chair, Legislative Policy Committee  
State House  
Annapolis, MD 21401-1991

The Honorable Michael E. Busch  
Co-Chair, Legislative Policy Committee  
State House  
Annapolis, MD 21401-1991

Dear Chairmen:

In accordance with State Government Article Sections 15-523c concerning the quarterly and annual reporting requirements, I am providing you our quarterly report. During the quarter ending December 31, 2006, exemptions were granted by the University of Maryland, College Park, and University of Maryland Biotechnology Institute, as indicated by the enclosed supporting documentation.

These exceptions are in accord with the Board of Regents' Policy on Conflicts of Interest in Research or Development and procedures developed in accordance with the provisions of the Public/Private Partnership Act.

Sincerely yours,

A handwritten signature in black ink that reads "W E Kirwan".

William E. Kirwan  
Chancellor

Enclosures

cc: The Honorable Martin O'Malley  
Members of the Legislative Policy Committee  
Members of the State Ethics Commission  
Office of the Attorney General

1807  
University of Maryland,  
Baltimore

1856  
University of Maryland,  
College Park

1865  
Bowie State University

1866  
Towson University

1886  
University of Maryland  
Eastern Shore

1898  
Frostburg State University

1900  
Coppin State University

1925  
Salisbury University

1925  
University of Baltimore

1925  
University of Maryland  
Center for Environmental  
Science

1947  
University of Maryland  
University College

1966  
University of Maryland,  
Baltimore County

1985  
University of Maryland  
Biotechnology Institute



# UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

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ora@umd.edu  
www.umresearch.umd.edu/ORAA

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FEB 02 2007

January 31, 2007

**CHANCELLOR'S OFFICE**


Suzanne Fox  
Executive Director  
Maryland State Ethics Commission  
9 State Circle  
Suite 200  
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action #COI 0702 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at [ageronimo@umresearch.umd.edu](mailto:ageronimo@umresearch.umd.edu).

Sincerely,

  
Anne Geronimo  
Associate Director

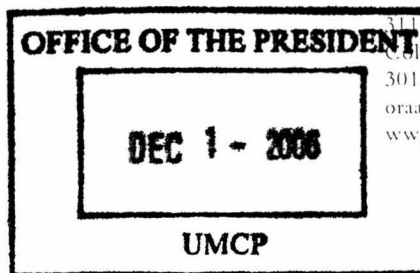
Enclosure

cc Alexandra McKeown  
Ann Wylie  
Kathleen Ryan



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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Alexandra A. McKeown *AMM*  
Assistant Vice President  
Research Administration and Advancement

**Through:** Dr. Mel Bernstein  
Vice President for Research

**Subject:** Conflict of Interest Disclosure #COI 0702, Dr. McIntosh

**Date:** November 30, 2006

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the Committee is forwarded herewith for your review and determination. The Committee members are Dr. Ashok Agrawala, Dr. Balakumar Balachandra, Dr. David F. Barbe (Chair), Mr. Brian P. Darmody, Dr. Philip R. Deshong, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor, Mr. James A. Poulos III and me.

### Conflict

Dr. McIntosh, a University of Maryland faculty member in the Department of Government and Politics, has received a grant from the National Science Foundation to conduct work with a Co-PI, Cynthia Cates, who is faculty member at Towson University. Dr. McIntosh and Dr. Cates are married.

### Disclosure Summary

This NSF proposal was reviewed by the department chair, BSOS Dean and the Office of Research Administration and Advancement through the University routing process. The proposal included separate budgets and statements of work for each PI and the Dean of BSOS will review any changes to the allocation to the subcontract budget if a change is determined to be necessary.

### Conditions for Approval

The Committee found the management plan to be acceptable.





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January 31, 2007

Suzanne Fox  
Executive Director  
Maryland State Ethics Commission  
9 State Circle  
Suite 200  
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action #COI 0702 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at [ageronimo@umresearch.umd.edu](mailto:ageronimo@umresearch.umd.edu).

Sincerely,

A handwritten signature in cursive script, appearing to read "Anne Geronimo".

Anne Geronimo  
Associate Director

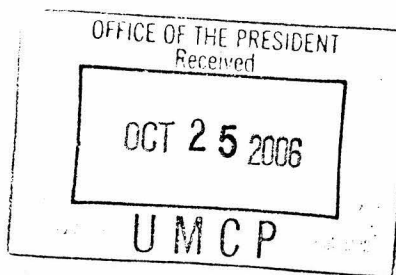
Enclosure

cc Alexandra McKeown  
Ann Wylie  
Kathleen Ryan



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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Alexandra A. McKeown *AM*  
Assistant Vice President

**Through:** Dr. Mel Bernstein *MB*  
Vice President for Research

**Subject:** Conflict of Interest Disclosure #COI 0702, Dr. Cleaveland

**Date:** October 24, 2006

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Mr. Brian P. Darmody, Dr. Philip R. Deshong, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor, Mr. James A. Poulos III and me.

### Conflict

Dr. Rance Cleaveland, a University of Maryland (UM) faculty member, is the Director of the Fraunhofer Center, Maryland (FC), an organization that collaborates with the University on proposals and awards and is being asked to fund this project.

### Disclosure Summary

The disclosure reports that Dr. Cleaveland, a faculty member in the Department of Computer Science, is also the Scientific Director of FC-MD. The proposed project is to initiate a program of University of Maryland Ph.D.-student support by the Fraunhofer USA Center for Experimental Software Engineering Maryland. Under the auspices of this program FC-MD will provide academic and summer term support for currently enrolled Ph.D. students at UM, so that these students may participate in FC-MD internal, governmental and private industry research projects relating to software technology. Dr. Cleaveland will serve as the UM PI and oversee the efforts of these students on the projects and will work with FC-MD personnel to define appropriate tasks for the students. Dr. Cleaveland will not work on behalf of FC-MD or participate in management decisions or activities on behalf of FC-MD that pertain to the students' work.

Dr. C. D. Mote, Jr.  
Dr. Mel Bernstein  
Conflict of Interest Disclosure  
October 25, 2006  
Page 2 of 2

Conditions for Approval

Dr. Cleaveland will not work on behalf of FC-MD, or participate in management decisions or activities on behalf of FC-MD that pertain to the students' work.

Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [amckeown@umresearch.umd.edu](mailto:amckeown@umresearch.umd.edu)

Approve of COI Committee recommendation: Yes  No

  
\_\_\_\_\_  
Signature

11/05/06  
Date

Enclosures



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January 31, 2007

FEB 02 2007

CHANCELLOR'S OFFICE

Suzanne Fox  
Executive Director  
Maryland State Ethics Commission  
9 State Circle  
Suite 200  
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action #COI 0701 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at [ageronimo@umresearch.umd.edu](mailto:ageronimo@umresearch.umd.edu).

Sincerely,

  
Anne Geronimo  
Associate Director

Enclosure

cc Alexandra McKeown  
Ann Wylie  
Kathleen Ryan



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## MEMORANDUM

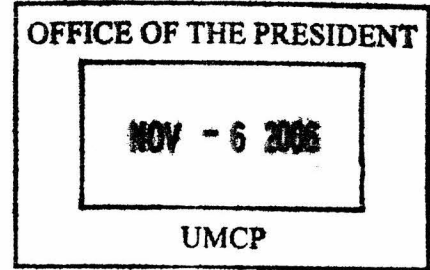
To: Dr. C. D. Mote, Jr.  
President

From: Ms. Alexandra A. McKeown *AM*  
Assistant Vice President

Through: Dr. Mel Bernstein *MB 11/1/06*  
Vice President for Research

Subject: Conflict of Interest Disclosure #COI 0701

Date: November 1, 2006



The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest, in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the Committee is forwarded herewith for your review and determination. The Committee members are Dr. David F. Barbe, Mr. Brian P. Darmody, Dr. Philip R. Deshong, Dr. Robert J. Cooling, Dr. J. Dennis O'Connor, Mr. James A. Poulos III and me.

### Conflict

Dr. Ning Zeng, Associate Professor in the Department of Atmospheric and Oceanic Science, is submitting a proposal to NOAA's Climate Program. His wife, Anmrita Mariotti, is a part-time UM employee who is also working as a part-time, contractual program management employee for NOAA's Climate Program.

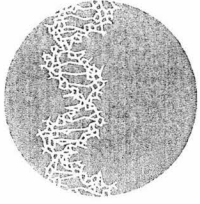
### Disclosure Summary

The disclosure reports that Dr. Zeng is submitting a proposal to NOAA's Climate Program. His wife, Anmrita Mariotti is a part-time UM employee who also works as a part-time, contractual program management employee at NOAA in the Climate Program. Dr. Zeng has disclosed the relationship to the NOAA program manager and states that his wife will not be involved in the decision-making process for the proposal.

### Conditions for Approval

Dr. Zeng's wife will not be involved in any work or decisions regarding Dr. Zeng's proposed project.





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OFFICE OF ACADEMIC AFFAIRS

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JAN 31 2007

**MEMORANDUM**

**CHANCELLOR'S OFFICE**

TO: Katie Ryan  
Office of the Chancellor

FROM: Marian J. Jackson, Ph.D. *Marian J. Jackson*  
Vice President, Academic Affairs, UMBI

DATE: January 23, 2007

RE: Quarterly Report on Public-Private Partnership Act Exemptions

During the period of October 1, 2006 – December 31, 2006 there was one exemption granted to UMBI personnel, as detailed in the chart below.

EMPLOYEE	INTEREST	ENTITY	DATE OF EXEMPTION
Dr. Yonathan Zohar	stock ownership, Chief Scientific Advisor, consultant (fee to be determined)	BioScience Marine Solutions LLC	November 1, 2006

If any further information is required, please feel free to contact Renee Burrell in the Office of the Vice President of Academic Affairs at (410) 385-6361.