



OFFICE OF THE CHANCELLOR

February 7, 2006

The Honorable Thomas V. Mike Miller, Jr.
Co-Chair, Legislative Policy Committee
State House
Annapolis, MD 21401-1991

The Honorable Michael E. Busch
Co-Chair, Legislative Policy Committee
State House
Annapolis, MD 21401-1991

Dear Chairmen:

In accordance with State Government Article Sections 15-523c and 15-610(g) and (h) concerning the quarterly and annual reporting requirements, I am providing you our quarterly report. During the quarter ending December 31, 2005, exemptions were granted by the University of Maryland, College Park (UMCP), as indicated by the enclosed supporting documentation.

These exceptions are in accord with the Board of Regents' Policy on Conflicts of Interest in Research or Development and procedures developed in accordance with the provisions of the Public/Private Partnership Act.

Sincerely yours,

A handwritten signature in black ink, appearing to read "W. E. Kirwan".

William E. Kirwan
Chancellor

Enclosures

cc: The Honorable Robert L. Ehrlich, Jr.
Members of the Legislative Policy Committee
Members of the State Ethics Commission
Office of the Attorney General

1807
University of Maryland,
Baltimore

1856
University of Maryland,
College Park

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Bowie State University

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Towson University

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University of Maryland
Eastern Shore

1898
Frostburg State University

1900
Coppin State University

1925
Salisbury University

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University of Baltimore

1925
University of Maryland
Center for Environmental
Science

1947
University of Maryland
University College

1966
University of Maryland,
Baltimore County

1985
University of Maryland
Biotechnology Institute



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MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

3112 Lee Building
College Park, Maryland 20742-5141
301.405.6269 TEL 301.314.9569 FAX
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November 7, 2005

Suzanne Fox
Executive Director
Maryland State Ethics Commission
9 State Circle
Suite 200
Annapolis, MD 21401

RECEIVED
NOV 11 2005
CHANCELLOR'S OFFICE

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action #COI 0602 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at gturnbull@umresearch.umd.edu.

Sincerely,

George Turnbull
Compliance Administrator

Enclosure

cc Alexandra McKeown
Ann Wylie
Kathleen Ryan



UNIVERSITY OF MARYLAND

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3112 Lee Building
College Park, Maryland 20742-5141
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MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown
Assistant Vice President

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Action #COI 0602, Dr. Storey

Date: October 26, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor (Chair), and me.

Conflict

Dr. Storey, a University of Maryland faculty member, consults for the Sara Lee Bakery Group (Sara Lee), a company that has issued an award to the University.

Disclosure Summary

The disclosure reports that Dr. Maureen Storey, a University faculty member, is the Director of the Center for Food and Nutrition and Agricultural Policy (CFNAP), and consults for Sara Lee. Sara Lee has made an award to the University in response to UM Proposal 050816-8751, "Assessing Consumption of Fiber, Folic Acid, Calcium and Vitamin D from Whole Grain Bread". Dr. Richard Forshee, a University faculty member, is the Associate Director of CFNAP, and will be the Principal Investigator for the award from Sara Lee.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Action #COI 0602
October 26, 2005
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest. Dr. Storey will not participate in the award for either the University or Sara Lee.

Conditions for Approval

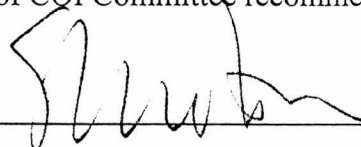
As PI for the award in connection with UM Proposal 050816-8751, Dr Forshee will have sole authority for the project and all financial activity connected with it. Dr. Storey will not be involved with any financial activity connected with the award, including approval of purchases and invoices.

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No

Signature  _____
Date 11/1/05



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November 18, 2005

NOV 21 2005

CHANCELLOR'S OFFICE

Suzanne Fox
Executive Director
Maryland State Ethics Commission
9 State Circle
Suite 200
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action #COI 0603 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at gturnbull@umresearch.umd.edu.

Sincerely,

A handwritten signature in cursive script that reads "George Turnbull".

George Turnbull
Compliance Administrator

Enclosure

cc Alexandra McKeown
Ann Wylie
Kathleen Ryan



UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

3112 Lee Building
College Park, Maryland 20742-5141
301.405.6269 TEL 301.314.9569 FAX
www.umresearch.umd.edu/ORAA

MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown
Assistant Vice President

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Disclosure #COI 0603, Dr. Shayman

Date: November 7, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Dr. Philip R. Deshong, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor (Chair), Mr. James A. Poulos III, and me.

Conflict

Dr. Shayman, a University of Maryland faculty member, can acquire a 10% interest in the MIPS partner on a University project.

Disclosure Summary

The disclosure reports that Dr. Mark A. Shayman, a faculty member in the Department of Electrical and Computer Engineering, might have the opportunity to acquire a 10% interest in NetImmune, Inc., the MIPS partner with the University under a MIPS award. Dr. Steve Tretter, another UM faculty member, who does not have any connection with NetImmune, Inc., will be the UM PI, and be responsible for all interactions with NetImmune, Inc. Dr. Shayman will be listed under "key personnel" and will provide technical advice to the project.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Disclosure #COI 0603
November 7, 2005
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest. Dr. Tretter will be the UM PI, and be responsible for all financial and programmatic activities for the project, including purchases and approval of invoices. Dr. Shayman will be listed as "key personnel" and provide technical advice, but will not have financial or programmatic authority.

The Committee notes that MIPS proposals undergo two separate and independent review processes for technical and commercial merit. They are typically reviewed by four technical reviewers and four commercial reviewers who are selected for their expertise in the particular field, and are also usually external to UM.

Conditions for Approval

Dr. Tretter will be the PI, with sole responsibility for the financial and programmatic relationship with NetImmune, Inc., and Dr. Shayman will be "key personnel".

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No



Signature

11-15 05
Date



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DEC 09 2005

CHANCELLOR 'S OFFICE

December 5, 2005

Suzanne Fox
Executive Director
Maryland State Ethics Commission
9 State Circle
Suite 200
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

A copy of the exemption granted by the University of Maryland, College Park, for Conflict of Interest Action COI 0527 is enclosed for your records. If there are any questions about this disclosure, I can be reached by phone at 301-405-6278, or via e-mail at gturnbull@umresearch.umd.edu.

Sincerely,

A handwritten signature in cursive script that reads "George Turnbull".

George Turnbull
Compliance Administrator

Enclosure

cc Alexandra McKeown
Ann Wylie
Kathleen Ryan



UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building
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MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown
Assistant Vice President

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Disclosure #COI 0527, Dr. Smidts

Date: November 22, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Dr. Philip R. Deshong, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor (Chair), Mr. James A. Poulos III, and me.

Conflict

Dr. Carol Smidts, a University of Maryland faculty member, is the UM PI for a proposal to the MIPS program, and also owns 50% of the MIPS partner.

Disclosure Summary

The disclosure reports that Dr. Carol Smidts, a faculty member in the Department of Mechanical Engineering, is the UM PI for proposal UM 050504-7933 ("Optimization of HOTTEST's User Interface") to the MIPS program. Dr Smidts owns 50% of the MIPS partner, TestSolvers, LLC. Dr. Smidts will not work on the project on behalf of TestSolvers. The technology upon which the collaboration is based will be licensed through UM. The graduate student working on the project will be co-advised by Dr. A. Mosleh, who is Chair of the Reliability Engineering Program, and does not have any involvement with TestSolvers or the MIPS project. Dr. Smidts has confirmed to the COI Committee that she will not be involved with grading the Graduate Student's courses during the duration of the project.



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RECEIVED

JAN 30 2006

CHANCELLOR'S OFFICE

January 26, 2006

Suzanne Fox
Executive Director
Maryland State Ethics Commission
9 State Circle
Suite 200
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

Copies of the exemptions granted by the University of Maryland, College Park, for Conflict of Interest Disclosures No. COI 0604, COI 0605, COI 0606, and COI 0609 are enclosed for your records. If there are any questions about these disclosures, I can be reached by phone at 301-405-6278, or via e-mail at gturnbull@umresearch.umd.edu.

Sincerely,

A handwritten signature in cursive script that reads "George Turnbull".

George Turnbull
Compliance Administrator

Enclosure

cc Alexandra McKeown
Ann Wylie
Kathleen Ryan



UNIVERSITY OF MARYLAND

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College Park, Maryland 20742-5141
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MEMORANDUM

JAN - 9 2005

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown
Assistant Vice President

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Action #COI 0604, Dr. Anlage

Date: December 20, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Mr. Brian P. Darmody, Dr. J. Dennis O'Connor (Chair), and me.

Conflict

Dr. Stephen Anlage, a University of Maryland faculty member, is the UM PI for an award from the NSF, and has an equity interest in a company that will collaborate with UM on that project, but will not receive a subaward or compensation for the collaboration. There is a possibility that a UM graduate student will work on the project for the company.

Disclosure Summary

The disclosure reports that Dr. Anlage, a faculty member in the Department of Physics, owns 1,400 shares of stock in Neocera, Inc., which is valued at \$6,440 and constitutes less than 5% of the outstanding stock. The Co-PI is Dr. Fuhrer of the Department of Physics, who does not have an interest in Neocera. The disclosure also states that licensing agreements are in place with the Office of Technology Commercialization, and that the outcome of the research will be published in the open literature. A UM graduate student will work part time for Neocera on joint research projects, and will be advised by Dr. Fuhrer.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Action #COI 0604
January 5, 2006
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest. Dr. Anlage's equity interest in Neocera is not significant. Dr. Fuhrer, who does not have an interest in Neocera, will be the Co-PI, and will advise students who work for Neocera. Licensing agreements are in place, and the research will be published in the open literature.

Conditions for Approval

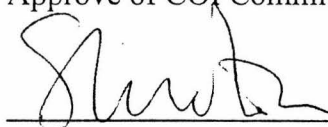
Dr. Fuhrer will advise UM students who work for Neocera.

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No



Signature

1/15/06

Date



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MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown
Assistant Vice President

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Action #COI 0605, Dr. Doermann

Date: December 15, 2005

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT
JAN - 9 2006

AMM
JSG

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Mr. Brian P. Darmody, Dr. J. Dennis O'Connor (Chair), and me.

Conflict

Dr. David Doermann, a University of Maryland faculty member, has an equity interest in a company that will be a partner to UM under the Maryland Industrial Partners (MIPS) program. There is a possibility that UM students will work for AMA as interns.

Disclosure Summary

The disclosure reports that Dr. Doermann, a faculty member in the Institute for Advanced Computer Studies, owns 47% of the outstanding stock for Applied Media Analysis, Inc. (AMA). AMA is the MIPS partner to UM for proposal 051014-9209, "MobileCard: Mobile Phone Based Business Card Reader", for which the UM PI is Dr. Nail Grumerov, who does not have an interest in AMA. Dr. Doermann will not be involved in this project for UM or AMA. UM students might be hired as interns for AMA.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Action #COI 0605
January 5, 2006
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, since Dr. Doermann will not be involved in the MIPS project. MIPS proposals undergo two separate and independent review processes for technical and commercial merit. They are typically reviewed by four technical reviewers and four commercial reviewers who are selected for their expertise in the particular field, and are also usually external to UM.

Conditions for Approval

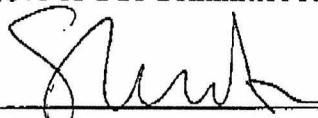
Dr. Doermann will not participate in the project, and will not participate in the advisement of students who work for AMA.

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No



Signature

1/15/06
Date



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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT
JAN - 9 2006
U/CP

MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown *AM*
Assistant Vice President

Through: Dr. Jacques S. Gansler *JSG*
Vice President for Research

Subject: Conflict of Interest Action #COI 0606, Dr. Khandani

Date: December 20, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor (Chair), Mr. James A. Poulos III, and me.

Conflict

Dr. Mehdi Kalantari Khandani, a University of Maryland faculty member, will work for UM on a Maryland Industrial Partners (MIPS) grant, and will acquire a 10% equity interest in the company that will collaborate with UM on that project.

Disclosure Summary

The disclosure reports that Dr. Khandani, a faculty member in the Department of Electrical and Computer Engineering, expects to receive a 10% equity interest in NetImmune, Inc., a company that will be the MIPS partner with the University in connection with proposal 050429-7890, "An Innovative Distributed Internet Immunity System". The Principal Investigator for the project will be Dr. Steven Tretter, who does not have an interest in NetImmune.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Action #COI 0606
January 5, 2006
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest. Dr. Tretter will be the UM PI, and will be responsible for all financial and programmatic activities for the project, including purchases and approval of invoices. The Committee notes that MIPS proposals undergo two separate and independent review processes for technical and commercial merit. They are typically reviewed by four technical reviewers and four commercial reviewers who are selected for their expertise in the particular field, and are also usually external to UM.

Conditions for Approval

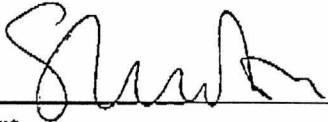
Dr. Tretter will be the PI, with sole responsibility for the financial and programmatic relationship with NetImmune, Inc.

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No



Signature

1/15/06

Date



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JAN - 9 2006
ORAA

MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Alexandra A. McKeown *AM*
Assistant Vice President

Through: Dr. Jacques S. Gansler *JSG*
Vice President for Research

Subject: Conflict of Interest Action COI 0609, Dr. Smolyaninov

Date: December 20, 2005

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of II-3.10 (A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment, and II-3.10 (B) University of Maryland Procedures on Conflict of Interest and Conflict of Commitment. The recommendation of the committee is forwarded herewith for your review and determination. The committee members making this recommendation are Dr. David F. Barbe, Dr. Philip R. Deshong, Dr. Robert J. Dooling, Dr. J. Dennis O'Connor (Chair), Mr. James A. Poulos III, and me.

Conflict

Dr. Smolyaninov, a University of Maryland faculty member, is the UM Co-PI for a proposal to the NSF, and his wife is a Co-PI for another institution, which will receive a subaward from UM under the award from NSF.

Disclosure Summary

The disclosure reports that Dr. Igor Smolyaninov, an Assistant Research Scientist in the Department of Electrical and Computer Engineering, is the Co-PI for a proposal to the NSF. A subaward will be issued by UM to Towson University, and Dr. Smolianinov's wife, Dr. Vera Smolyaninova, will be the Co-PI for Towson. The PI for Towson will be Dr. Shaefer, and the PI for UM will be Dr. Christopher Davis, who will make all the award management decisions.

Dr. C. D. Mote, Jr.
Dr. Jacques S. Gansler
Conflict of Interest Action COI 0609
January 5, 2006
Page 2 of 2

Conclusions

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, since the potential conflict will be managed by having Dr. Davis make all the award management decisions.

Conditions for Approval

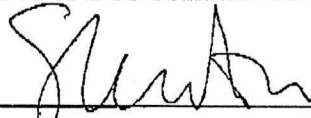
Dr. Smolyaninov will not participate in management decisions or financial activities pertaining to the subcontract to Towson University.

Recommendation

The COI Committee recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and amckeown@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No



Signature

1/15/06
Date