



UNIVERSITY SYSTEM OF MARYLAND

OFFICE OF THE CHANCELLOR

February 2, 2004

1807  
University of Maryland,  
Baltimore

The Honorable Thomas V. Mike Miller, Jr.  
Co-Chair, Legislative Policy Committee  
State House  
Annapolis, MD 21401-1991

1856  
University of Maryland,  
College Park

1865  
Bowie State University

1866  
Towson University

The Honorable Michael E. Busch  
Co-Chair, Legislative Policy Committee  
State House  
Annapolis, MD 21401-1991

1886  
University of Maryland  
Eastern Shore

1898  
Frostburg State University

Dear Chairmen:

1900  
Coppin State College

In accordance with State Government Article Sections 15-523c and 15-610(g) and (h) concerning the quarterly and annual reporting requirements, I am providing you our quarterly report. During the quarter ending December 31, 2003, the University of Maryland, College Park granted exemptions as shown on the enclosed list, along with the supporting documentation.

1925  
Salisbury University

1925  
University of Baltimore

1925  
University of Maryland  
Center for Environmental  
Science

This exception is in accord with the Board of Regents' Policy on Conflicts of Interest in Research or Development and procedures developed in accordance with the provisions of the Public/Private Partnership Act.

1947  
University of Maryland  
University College

1966  
University of Maryland,  
Baltimore County

Sincerely yours,

*WE Kirwan*

1985  
University of Maryland  
Biotechnology Institute

William E. Kirwan  
Chancellor

Enclosure

cc: The Honorable Robert L. Ehrlich, Jr.  
✓ Members of the Legislative Policy Committee  
Members of the State Ethics Commission  
Mr. John K. Anderson

**University Of Maryland, College Park  
Public-Private Partnership Act Quarterly Report  
October 1, 2003-December 31, 2003**

<u>Action #</u>	<u>Approved</u>	<u>UM Investigator</u>	<u>Entity</u>	<u>Interest</u>
COI 0313	11/2/03	Dr. Radermacher	Thermal Analysis Partners, LLC	equity
COI 0316	12/8/03	Dr. Davis	LumenLink Corp.	equity, employment
COI 0401	11/11/03	Dr. Popper	Environmental Bioacoustics, Inc.	consulting
COI 0402	11/11/03	Dr. Lanford	Environmental	consulting
COI 0403	10/8/03	Dr. Blankenship	Techno-Sciences, Inc.	equity, employment
COI 0404	12/9/03	Dr. Anand	Iktara and Associates, LLC	equity, employment
COI 0405	11/21/03	Dr. Dagenais	Convega, Inc.	equity employment
COI 0406	12/9/03	Dr. Vishkin	XMTT, Inc.	equity employment
COI 0407	12/9/03	Dr. Radermacher	Thermal Analysis	equity employment
COI 0409	12/9/03	Dr. Gupta	Iktara and Associates, LLC	consulting
COI 0410	12/9/03	Dr. Blankenship	Techno-Sciences, Inc.	equity, employment
COI 0411	12/9/03	Dr. Blankenship	Techno-Sciences, Inc.	equity, employment
COI 0413	12/9/03	Dr. Blankenship	Techno-Sciences, Inc.	equity employment
COI 0414	12/1/03	Dr. Vispute	Blue Wave	equity



# UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building  
College Park, Maryland 20742-5141  
301.405.6269 TEL 301.314.9569 FAX  
www.inform.umd.edu/ORAA

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp *Erica Kropp*  
Director

**Through:** Dr. J. Dennis O'Connor *J. Dennis O'Connor*  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0313, Dr. Reinhard Radermacher

**Date:** October 21, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Reinhard Radermacher, UM faculty member, has an equity interest in Thermal Analysis Partners, LLC., a company that will participate in the MIPS program at UM.

### Disclosure Summary

The disclosure reports that Dr. Reinhard Radermacher, a faculty member in the Department of Mechanical Engineering, owns 49% of Thermal Analysis Partners, LLC (TAP), a company that will be a partner with UM in the MIPS program. The Principal Investigator for UM will be Dr. Yunho Hwang, also a faculty member in the Department of Mechanical Engineering, who does not have a conflict of interest in this case.

### Conditions for Approval

Dr. Radermacher will not participate in the project, either for TAP or the University, and will not participate in any personnel actions involving Dr. Hwang during the time that Dr. Hwang has any active or pending projects involving TAP. Students who are being advised by Dr Radermacher will not work on this project, and students who are being advised by Dr. Hwang will not work for TAP in connection with this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes \_\_\_ No \_\_\_

*[Signature]*  
\_\_\_\_\_  
Signature

*11-12-03*  
\_\_\_\_\_  
Date

### Enclosures

cc: Dr. Radermacher  
COI Committee Members  
etc.




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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp   
Director

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0316

**Date:** December 3, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Christopher C. Davis, UM Faculty member, is Vice President and Chief Technology Officer of a company that will make a subaward to UM under a National Science Foundation prime award. He also has an equity interest in the company.

### Disclosure Summary

The disclosure reports that Dr. Christopher C. Davis, a faculty member in the Department of Electrical and Computer Engineering, is Vice President and Chief Technical Officer of LumenLink Corporation, and has a 9.63% interest therein. LumenLink will issue a subaward to UM under a prime award from the NSF. The Principal Investigator for the University will be Dr. Stuart Milner, who does not have an interest in LumenLink.

### Conditions for Approval

Dr. Davis will not participate in the research that is conducted at the University under this award.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature 

Date 12/8/3

### Enclosures

cc: Dr. Christopher C. Davis  
COI Committee Members  
File



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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT  
MEMORANDUM

To: Dr. C. D. Mote, Jr.  
President

From: Ms. Erica Kropp  
Director *Erica Kropp*

Through: Dr. J. Dennis O'Connor *J. Dennis O'Connor*  
Vice President for Research and Dean of Graduate School

Subject: Conflict of Interest Disclosure # COI 0401, Dr. Arthur Popper, and #COI 0402, Dr. Pamela Lanford

Date: October 21, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

Conflict

Dr. Arthur N. Popper, UM faculty member, is consulting for a company on a project for which work will be performed in a UM laboratory by Dr. Pamela Lanford, UM faculty member.

Disclosure Summary

The disclosure reports that Dr. Arthur Popper, a faculty member in the College of Life Sciences, has been engaged as a consultant by Environmental Bioacoustics, Inc., to conduct experiments involving the analysis of tissue to determine whether vestibular effects have occurred in salmon. While Dr. Popper had originally intended to have the studies performed at another university, the researcher at that institution was prevented by a family illness from conducting the research as planned. As a result, the only person qualified and available to perform the research is Dr. Pamela Lanford, a faculty member in the College of Life Sciences. The work is to be done in Dr. Popper's laboratory on campus, and will not interfere with other work being done there. Dr. Lanford will perform the work on evenings and weekends so that it will not interfere with her responsibilities as a Lecturer, and she will be paid directly by Environmental Bioacoustics.

Dr William R. Jeffery, Chair of the Department of Biology, has approved the use of the lab for this purpose. Environmental Bioacoustics, Inc. will provide all supplies and expendables, and will be charged \$200 for the use of the lab for approximately two weeks, based on an analysis performed by the Department of the square footage of laboratory space required. Environmental Bioacoustics, Inc. will also pay for the use of equipment in the Laboratory for Biological Ultrastructure based on the cost associated with filament time on the electron beam instruments, and at \$10.00 per hour for scanning electron microscopy (SEM).

Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

*[Signature]*  
\_\_\_\_\_  
Signature

*11/11/03*  
\_\_\_\_\_  
Date

Enclosures

cc: Dr. Arthur Popper  
COI Committee Members




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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp  
Director 

**Through:** Dr. J. Dennis O'Connor  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0403

**Date:** October, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Gilmer Blankenship, UM faculty member, is the Chairman of the Board of Directors of Techno-Sciences, Inc. (TSI), a prospective grantor to the University. Dr. Blankenship also has an equity interest in TSI.

### Disclosure Summary

The disclosure reports that Dr. Gilmer Blankenship, a faculty member in the Department of Electrical and Computer Engineering, is the Chairman of the Board of Directors of TSI, and also has an equity interest in TSI. TSI has awarded a grant to the University for which Dr. William Levine, also a faculty member in the Department of Electrical and Computer Engineering, will be the Principal Investigator. Dr Levine does not have any connections with TSI, and does not have a conflict of interest in this case.

Dr. Blankenship will not participate in financial or management activity for this project (i.e. authorization of expenditures or definition of scope), either for TSI or the University. Students who are being advised by Dr. Blankenship will not work on this project, and students who are being advised by Dr. Levine will not work for TSI in connection with this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

  
\_\_\_\_\_  
Signature

10/8/03  
\_\_\_\_\_  
Date

Enclosures

cc: Dr. Blankenship  
Dr. Levine  
COI Committee Members



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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp *Erica Kropp*  
Director

**Through:** Dr. J. Dennis O'Connor *JDO*  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0404

**Date:** December 2, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Davinder K. Anand, a University of Maryland faculty member, is President and sole owner of Iktara Associates, LLC, a company that will make an award to the University.

### Disclosure Summary

The disclosure reports that Dr. Anand, a faculty member in the Department of Mechanical Engineering, is the President and sole owner of Iktara Associates, LLC. The Principal Investigator for the University will be Dr. Diganta Das, a faculty member in the CALCE Electronic products and Systems Center, who does not have an interest in Iktara Associates.

### Conditions for Approval

Dr. Anand will not work on the project for the University. Students under advisement by Dr. Anand and Dr. Das will not work on the project unless the Conflict of Interest Committee has been informed and gives its approval.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature

Date

12-9-03




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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp   
Director

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0405

**Date:** November 21, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Mario Dagenais, UM faculty member, has a 1.5% equity interest in Convega, Inc., a company that will make an award to UM under an NSF SBIR. Dr. Dagenais will also be the Principal Investigator for the University for the award.

### Disclosure Summary

The disclosure reports that Dr. Mario Dagenais, a faculty member in the Department of Engineering, owns less than 1.5% of the outstanding shares of Covega, Inc., and that he consults for Convega one day per week for a total annual compensation of \$38,000.

### Conditions for Approval

Dr. Dagenais will not consult for Convega, Inc during the period of this award, and the approval of the Associate Department Chair for Graduate Studies will be required before any students who are being advised by Dr. Dagenais can work on this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes \_\_\_ No \_\_\_

  
\_\_\_\_\_  
Signature

12-2-03  
Date

### Enclosures

cc: Dr. Dagenais  
COI Committee Members  
File



# UNIVERSITY OF MARYLAND

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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp *Erica Kropp*  
Director

**Through:** Dr. J. Dennis O'Connor *J. Dennis O'Connor*  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 00406

**Date:** December 3, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Uzi Vishkin, University of Maryland faculty member, is the owner and President of XMTT Inc., a company that will make an award to the University under the MIPS program.

### Disclosure Summary

The disclosure reports that Dr. Uzi Vishkin, a faculty member in the Department of Electrical and computer Engineering, is the owner and President of XMTT, Inc., a company that will be a partner with the University under the MIPS program. The Principal Investigator for the University will be Dr. Manoj Franklin, also a faculty member in the department of Electrical and Computer Engineering, who does not have an interest in XMTT, Inc.

### Conditions for Approval

Dr. Vishkin will not participate in the project on behalf of the University.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

*[Signature]*  
\_\_\_\_\_  
Signature

*12-9-03*  
\_\_\_\_\_  
Date




# UNIVERSITY OF MARYLAND


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Lee Building  
College Park, Maryland 20742-5141  
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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp   
Director

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0407, Dr. Reinhard Radermacher

**Date:** December 4, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Reinhard Radermacher, University of Maryland faculty member, has an equity interest in Thermal Analysis Partners, LLC., a company that will participate in the MIPS program.

### Disclosure Summary

The disclosure reports that Dr. Reinhard Radermacher, a faculty member in the Department of Mechanical Engineering, owns 49% of Thermal Analysis Partners, LLC (TAP), a company that will be a partner with the University in the MIPS program. The Principal Investigator for the University will be Dr. Yunho Hwang, also a faculty member in the Department of Mechanical Engineering, who does not have an interest in TAP.

### Conditions for Approval

Dr. Radermacher will not participate in the project, either for TAP or the University, and will not participate in any personnel actions involving Dr. Hwang during the time that Dr. Hwang has any active or pending projects involving TAP. Students who are being advised by Dr. Radermacher will not work on this project, and students who are being advised by Dr. Hwang will not work for TAP in connection with this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature 

Date 12-9-03




# UNIVERSITY OF MARYLAND


OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

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College Park, Maryland 20742-5141  
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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp   
Director

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0409

**Date:** December 4, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. S. K. Gupta, a University of Maryland faculty member, has consulted for Iktara and Associates, a company that will make an award to the University, for which Dr. Gupta will be the Principal Investigator.

### Disclosure Summary

The disclosure reports that Dr. S. K. Gupta, a faculty member in the Department of Mechanical Engineering, has consulted on previous occasions for Iktara and Associates. Iktara will make an award to the University, and Dr. Gupta will be the Principal Investigator for the University. Dr. Gupta does not have an interest in Iktara and Associates.

### Conditions for Approval

Dr. Gupta will not consult for Iktara and Associates during the period of the award.

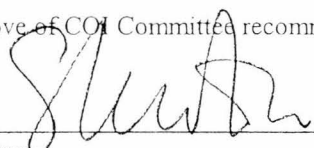
### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature



Date

12-9-03



# UNIVERSITY OF MARYLAND

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OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp  
Director *Erica Kropp*

**Through:** Dr. J. Dennis O'Connor *JA*  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0410

**Date:** December 5, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Gilmer Blankenship, UM faculty member, is the Chairman of the Board of Directors of Techno-Sciences, Inc. (TSI), a prospective grantor to the University. Dr. Blankenship also has an equity interest in TSI.

### Disclosure Summary

The disclosure reports that Dr. Gilmer Blankenship, a faculty member in the Department of Electrical and Computer Engineering, is the Chairman of the Board of Directors of TSI, and also has an equity interest in TSI. TSI will award a grant to the University for which Dr. Christopher Davis, also a faculty member in the Department of Electrical and Computer Engineering, will be the Principal Investigator for the University. Dr. Davis does not have an interest in TSI.

### Conditions

Dr. Blankenship will not participate in financial or management activity for this project (i.e. authorization of expenditures or definition of scope), either for TSI or the University. Students who are being advised by Dr. Blankenship will not work on this project, and students who are being advised by Dr. Davis will not work for TSI in connection with this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature

Date

12-9-03




# UNIVERSITY OF MARYLAND


OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building  
College Park, Maryland 20742-5141  
301.405.6269 TEL 301.314.9569 FAX  
www.inform.umd.edu/ORAA

## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp   
Director

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0411

**Date:** December 5, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Gilmer Blankenship, UM faculty member, is the Chairman of the Board of Directors of Techno-Sciences, Inc. (TSI), a prospective grantor to the University. Dr. Blankenship also has an equity interest in TSI.

### Disclosure Summary

The disclosure reports that Dr. Gilmer Blankenship, a faculty member in the Department of Electrical and Computer Engineering, is the Chairman of the Board of Directors of TSI, and also has an equity interest in TSI. TSI will award a grant to the University for which Dr. William Levine, also a faculty member in the Department of Electrical and Computer Engineering, will be the Principal Investigator for the University. Dr. Levine does not have an interest in TSI.

### Conditions

Dr. Blankenship will not participate in financial or management activity for this project (i.e. authorization of expenditures or definition of scope), either for TSI or the University. Students who are being advised by Dr. Blankenship will not work on this project, and students who are being advised by Dr. Levine will not work for TSI in connection with this project.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

  
\_\_\_\_\_  
Signature

12-9-03  
\_\_\_\_\_  
Date




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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp  
Director 

**Through:** Dr. J. Dennis O'Connor   
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0413

**Date:** December 5, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. Gilmer Blankenship, UM faculty member, is the Chairman of the Board of Directors of Techno-Sciences, Inc. (TSI), a prospective grantor to the University. Dr. Blankenship also has an equity interest in TSI.

### Disclosure Summary

The disclosure reports that Dr. Gilmer Blankenship, a faculty member in the Department of Electrical and Computer Engineering, is the Chairman of the Board of Directors of TSI, and also has an equity interest in TSI. TSI will award a grant to the University for which Dr. Inderjit Chopra and Dr. Norman Wereley, who are both faculty members in the Department of Aerospace Engineering, will be the Co-Principal Investigators for the University. Dr. Chopra and Dr. Wereley do not have an interest in TSI.

### Conditions

Dr. Blankenship will not participate in financial or management activity for this project (i.e. authorization of expenditures or definition of scope), either for TSI or the University. Students who are being advised by Dr. Blankenship will not work on this project, and students who are being advised by Dr. Chopra and Dr. Wereley will not work for TSI in connection with this project.

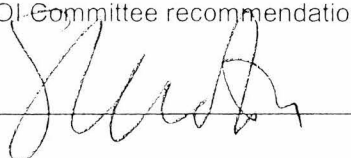
### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

Signature



Date

12-9-03



# UNIVERSITY OF MARYLAND

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## MEMORANDUM

**To:** Dr. C. D. Mote, Jr.  
President

**From:** Ms. Erica Kropp *Erica Kropp*  
Director

**Through:** Dr. J. Dennis O'Connor *J. Dennis O'Connor*  
Vice President for Research and Dean of Graduate School

**Subject:** Conflict of Interest Disclosure # COI 0414

**Date:** November 25, 2003

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Dr. Donald Spero, Mr. George Turnbull, and me.

### Conflict

Dr. R. D. Vispute, UM faculty member, is the owner of Blue Wave Semiconductors, Inc., a company that will make an award to UM under a grant from the National Science Foundation.

### Disclosure Summary

The disclosure reports that Dr. R. D. Vispute, a faculty member associated with both the Center for Superconductivity Research, Department of Physics, and the Department of Electrical and Computer Engineering, is the owner of Blue Wave Semiconductors, Inc. The principal investigator for the University will be Dr. Ichiro Takeuchi, who does not have an interest in Blue Wave Semiconductors, Inc.

### Conditions for Approval

Dr. Vispute will not participate in the project on behalf of the University.

### Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and [ekropp@umresearch.umd.edu](mailto:ekropp@umresearch.umd.edu).

Approve of COI Committee recommendation: Yes  No

*Erica Kropp*  
\_\_\_\_\_  
Signature

12-1-03  
\_\_\_\_\_  
Date

### Enclosures

cc: Dr. R. D. Vispute  
COI Committee Members  
File