



OFFICE OF THE CHANCELLOR

November 4, 2004

The Honorable Thomas V. Mike Miller, Jr.
Co-Chair, Legislative Policy Committee
State House
Annapolis, MD 21401-1991

The Honorable Michael E. Busch
Co-Chair, Legislative Policy Committee
State House
Annapolis, MD 21401-1991

Dear Chairmen:

In accordance with State Government Article Sections 15-523c and 15-610(g) and (h) concerning the quarterly and annual reporting requirements, I am providing you our quarterly report. During the quarter ending September 30, 2004, the University of Maryland, College Park granted exemptions as indicated by the enclosed supporting documentation.

These exceptions are in accord with the Board of Regents' Policy on Conflicts of Interest in Research or Development and procedures developed in accordance with the provisions of the Public/Private Partnership Act.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Bill Kirwan".

William E. Kirwan
Chancellor

Enclosure

cc: The Honorable Robert L. Ehrlich, Jr.
✓ Members of the Legislative Policy Committee
Members of the State Ethics Commission
Office of the Attorney General

1807
University of Maryland,
Baltimore

1856
University of Maryland,
College Park

1865
Bowie State University

1866
Towson University

1886
University of Maryland
Eastern Shore

1898
Frostburg State University

1900
Coppin State University

1925
Salisbury University

1925
University of Baltimore

1925
University of Maryland
Center for Environmental
Science

1947
University of Maryland
University College

1966
University of Maryland,
Baltimore County

1985
University of Maryland
Biotechnology Institute



UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building
College Park, Maryland 20742-5141
301.405.6269 TEL 301.314.9569 FAX
www.inform.umd.edu/ORAA

RECEIVED

AUG 31 2004

August 17, 2004

OFFICE OF THE CHANCELLOR
UNIVERSITY SYSTEM OF MARYLAND

Suzanne Fox
Executive Director
Maryland State Ethics Commission
9 State Circle
Suite 200
Annapolis, MD 21401

Subject: Public/Private Partnership Act

Dear Ms. Fox,

Copies of the exemptions granted by the University of Maryland, College Park, for Conflict of Interest Disclosures No. COI 0419, COI 0420, and COI 0424 are enclosed for your records. If there are any questions about these disclosures, I can be reached by phone at 301-405-6278, or via e-mail at gturnbull@umresearch.umd.edu.

Sincerely,

A handwritten signature in cursive script that reads "George Turnbull".

George Turnbull
Compliance Administrator

Enclosure

cc Erica Kropp
Ann Wylie
Kathleen Ryan



UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building
College Park, Maryland 20742-5141
301.405.6269 TEL 301.314.9569 FAX
www.inform.umd.edu/ORAA

MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Antoinette Lawson
Associate Director

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Disclosure #COI 0424

Date: August 4, 2004

The subject disclosure was received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Mr. George Turnbull, and me.

Conflict

Dr. Marvin Zelkowitz, a University of Maryland faculty member, is the Chief Scientist for the Fraunhofer Center for Experimental Software Engineering (FC), an entity that will receive a subaward from UM in connection with the Maryland Industrial Partnerships (MIPS) program.

Disclosure Summary

The disclosure reports that Dr. Zelkowitz, a faculty member in the Department of Computer Science, is the UM PI for a MIPS award received in connection with UM Proposal 040430-7965. Dr. Zelkowitz is also the Chief Engineer for FC, a non-profit corporation that will be issued a subaward under the MIPS award.

Conditions for Approval

Dr. Zelkowitz will not work for FC on this project (UM Proposal 040430-7965), or participate in management decisions or activities on behalf of FC that pertain to this



UNIVERSITY OF MARYLAND

OFFICE OF RESEARCH ADMINISTRATION AND ADVANCEMENT

Lee Building
College Park, Maryland 20742-5141
301.405.6269 TEL 301.314.9569 FAX
www.inform.umd.edu/ORAA

MEMORANDUM

To: Dr. C. D. Mote, Jr.
President

From: Ms. Erica Kropp
Director

Through: Dr. Jacques S. Gansler
Vice President for Research

Subject: Conflict of Interest Disclosures #COI 0419 and 0420

Date: July 6, 2004

The subject disclosures were received and reviewed by the President's Advisory Committee on Conflict of Interest (COI Committee), in accordance with the provisions of III-1.11(A) University of Maryland Interim Procedures on Conflicts of Interest in Research or Development. The recommendation of the committee is forwarded herewith for your review and determination. The committee members are Dr. David F. Barbe, Dr. Suzanne M. Bianchi, Mr. Brian P. Darmody, Dr. Judith A. Lichtenberg, Dr. J. Dennis O'Connor (Acting Chair), Mr. James A. Poulos III, Mr. George Turnbull, and me.

Conflict

Dr. Marvin Zelkowitz, a University of Maryland faculty member, is the Chief Scientist for the Fraunhofer Center for Experimental Software Engineering (FC), an entity that will be issued a subcontract by UM under an award from NASA, for which Dr. Zelkowitz will be the Principal Investigator. Dr. Basili is the Executive Director of FC, and is the CO-PI for UM on the project.

Disclosure Summary

The disclosures report that Dr. Zelkowitz, a faculty member in the Department of Computer Science, is the UM PI for a NASA award received in connection with UM Proposal 040331-7725. Dr. Zelkowitz is also the Chief Engineer for FC, a non-profit

Dr. C. D. Mote, Jr.
Dr. Dennis O'Connor
Conflict of Interest Disclosure # COI
March 4, 2004
Page 2 of 2

corporation that will be issued a subcontract by UM under the NASA award. Dr. Basili is a faculty member in the Department of Computer Science, and is the CO-PI under the NASA award. Dr. Basili is also the Executive Director of FC until August 31, 2004, when he will step down and become a consultant to FC.

Conditions for Approval

Neither Dr. Zelkowitz nor Dr. Basili will work for FC on this project (UM Proposal ID 040331-7725), or participate in management decisions or activities on behalf of FC that pertain to this project. Dr. Hollingsworth, a UM CO-PI for the project, is not connected with FC, and will manage all aspects of the Subcontract for UM, including approval of subcontractor invoices. The subcontract agreement that will be issued by UM to FC will be executed on behalf of FC by an authorized official who is not currently employed by UM.

Recommendation

The COI Committee has concluded that the disclosed involvement does not constitute a harmful interest, and recommends that this project be approved for exemption from the provisions of the State of Maryland Public Ethics Law.

If you concur, please sign below where indicated. Any exemptions granted will be reported to the Maryland State Ethics Commission and the Chancellor for the UMS, as required. If you have any questions, I can be reached at 301-405-6266, and ekropp@umresearch.umd.edu.

Approve of COI Committee recommendation: Yes No

Signature



Date

7/13/4