



MARYLAND Department of Health

Larry Hogan, Governor · Boyd K. Rutherford, Lt. Governor · Robert R. Neall, Secretary

Behavioral Health Administration

Lisa A. Burgess, M.D.

(Acting) Deputy Secretary/Executive Director

55 Wade Ave., Dix Bldg., SGHC

Catonsville, MD 21228

July 9, 2019

Robert R. Neall, Secretary
Maryland Department of Health
201 West Preston Street
Baltimore, MD 21201

Carol A. Beatty, Secretary
Maryland Department of Disabilities
217 East Redwood Street, Suite 1300
Baltimore, MD 21202

**Re: Health-General Article § 5-808—2015 and 2017 Mortality and Quality Review
Committee Annual Reports**

Dear Secretary Neall and Secretary Beatty:

The Maryland Department of Health Mortality and Quality Review Committee is required to issue an annual report pursuant to Health-General Article § 5-808 of the Annotated Code of Maryland. The enclosed reports summarize the actions of the committee and contains recommendations pertaining to the care provided to Maryland citizens who receive services through the Behavioral Health Administration and the Developmental Disabilities Administration. One report covers Calendar Year 2015 and the other, Calendar Year 2017.

If you have any further questions, please do not hesitate to contact me through Kimberly Jones, Director of Government Affairs and Communications, Behavioral Health Administration, at (410) 402-8402 or kimberly.jones4@maryland.gov.

Sincerely,



Stephanie Bell, Chair
Mortality and Quality Review Committee

Enclosure

cc: Sarah Albert, MSAR

Maryland Department of Health

Mortality and Quality Review Committee

Annual Report

Calendar Year 2017

Larry Hogan
Governor

Boyd Rutherford
Lieutenant Governor

Robert R. Neall
Secretary

Stephanie Bell
Chair

I. THE MORTALITY AND QUALITY REVIEW COMMITTEE

The Mortality and Quality Review Committee (MQRC) reviews the deaths of individuals in programs or facilities operated, licensed, or approved by the Developmental Disabilities Administration (DDA) and the Behavioral Health Administration (BHA) within the Maryland Department of Health (MDH).¹

The MQRC's primary goal is to identify patterns and systemic problems within the DDA and BHA provider community and make recommendations to the Secretary of MDH regarding actions to prevent avoidable injuries and deaths and to improve quality of care.

The MQRC meets at least three times per year. Meetings of the MQRC are closed to the public and all deliberations are confidential. All records and files of the MQRC, its deliberations, findings, recommendations, and database are confidential. Members² may not disclose what transpired at a meeting and are not allowed to communicate directly with a provider, a state facility director, a family member, or guardian of the individual who is the subject of a death review. MQRC members have immunity from liability for any action as a member of the MQRC and for giving information to, participating in, and contributing to the function of the MQRC or its subcommittee. Members do not receive compensation for service on the MQRC.

The MQRC is staffed by BHA and DDA within MDH. BHA and DDA employees who staff the MQRC are not members of the MQRC or the subcommittee of the MQRC.

II. REPORTING REQUIREMENTS

The MQRC is required to prepare a report for public distribution at least once a year. The annual report must include aggregate information that sets forth the numbers of deaths reviewed, the age of the deceased, causes and circumstances of deaths, a review of aggregate incident data, a summary of the MQRC's activities, and summary of findings. Summary findings should include patterns and trends, goals, problems, concerns and final recommendations, and preventative measures. Specific individuals and entities may not be identified in the report. DDA provides the public report to all service providers licensed by DDA, and those operating by waiver under Health-General Article § 7-903(b) of the Annotated Code of Maryland.

In addition to the annual report for public distribution, the MQRC or its subcommittee may, in its discretion, at any time, issue preliminary findings or make preliminary recommendations to the Secretary of the MDH, the Secretary of the Department of Disabilities, the Deputy Secretary of DDA, the Executive Director of BHA, and the Director of Office of Health Care Quality (OHCQ). The preliminary findings and recommendations are confidential and not discoverable or admissible.³

¹ See Attachment 1, Title 5, Subtitle 8, of the Health-General Article of the Annotated Code of Maryland (2015), *infra*, p. 11.

² See Attachment 2, 2015 MQRC Membership, *infra*, at 17.

³ Health-General Article § 5-809; Health-Occupations Article § 14-501 (2001).

III. THE DEATH AND INCIDENT DATA REVIEW PROCESS

The MQRC is one link in the process of reviewing deaths and reportable incidents in the programs and facilities licensed or operated by DDA and BHA. The review process begins with a report of a death or a reportable incident to OHCQ and other appropriate agencies.

DDA has reporting requirements for deaths and incidents in their programs and facilities governed by statute or policy. DDA issued a Policy on Reportable Incidents and Investigations which became effective July 29, 1999.⁴ The purpose of the policy is to protect individuals from harm and to enhance the quality of services provided to them. The policy applies to all State Residential Centers, Forensic Residential Centers, and community-based agencies licensed by DDA.⁵ All deaths and certain other incidents in programs covered by the policy must be reported to the following entities:

- OHCQ;
- DDA;
- family, legal guardian, or advocate(s);
- case manager or coordinators of community support;
- Disability Rights Maryland (the state protection and advocacy agency);
- local health department;
- law enforcement; and
- Office of the Chief Medical Examiner.

The reporting requirements for deaths occurring in an inpatient or residential treatment setting, residential crisis services, group home (including assisted living unit), residential rehabilitation program, personal support services, shared living services, and psychiatric rehabilitation program⁶ is governed by Health-General Article § 10–713 (2015). If a death of an individual in any of the aforementioned programs occurs, and the person is not on hospice care, the administrative head of the program or facility must report the death:

- immediately to the Secretary of MDH and the sheriff, police, or chief law enforcement official in the jurisdiction in which the death occurred; and
- by the close of business of the next working day to:
 - the Executive Director of BHA;
 - the health officer in the local jurisdiction where the death occurred; and
 - the state protection and advocacy agency, Disability Rights Maryland.

⁴ Developmental Disabilities Administration, Policy on Reportable Incidents and Investigations (Jan. 15, 2013), online at <https://dda.health.maryland.gov/Pages/Developments/2015/10220201%20FINAL%20PORII.pdf> (all Internet materials as last visited December 7, 2018) (the Policy on Reportable Incidents and Investigations was revised and reissued in December 2001, April 2003, October 2003, July 2005, July 2006, August 2006, October 2007, and January 2013).

⁵ The reporting requirements also apply to those agencies operating by waiver under Health-General Article § 7–903(b) (2000).

⁶ Effective October 1, 2015, psychiatric rehabilitation programs are no longer listed in statute as required to report deaths to the Department of Health.

Under the provisions of Health-General Article § 5–801 *et seq.*, OHCQ performs a review of each death of an individual with a developmental disability or mental illness who, at the time of death, resided in or was receiving services from programs or facilities covered under § 10–713. The purpose of the review is to consider whether additional investigation is needed, especially if the incident is a death, in order to determine whether regulations have been violated. Two exceptions apply to the power of OHCQ to conduct an investigation: (1) OHCQ may not review the care or services provided in an individual’s private home, except to the extent needed to investigate whether the services were provided in the home by a licensed provider; and (2) unless a member of the Committee requests a review, OHCQ may choose not to complete an on-site investigation of a death if the circumstances, based on review and reasonable judgment, are readily explained and require no further investigation.

Once OHCQ completes its review or investigation, the case is presented to the MQRC. The MQRC then reviews each death case, including any deficiency statements and documents pertinent to the case. If further investigation is warranted, it may request additional information and documentation, including individual records, service of care records, medical records, discharge summary, autopsy reports, medication administration records, and any deficiency statements and plans of corrections. Once a request for information has been made, a provider of medical, dental, or mental health care, and of residential or other services, whether private or State or local governments, must provide access to that information. The MQRC may prepare questions for the provider agency, state facility director, or other relevant person.

In accordance with Health-General Article § 5–806.1, OHCQ provides aggregate incident data⁷ to the MQRC every three months. A subcommittee of the MQRC reviews the aggregate incident data. Findings and recommendations for 2017 are included in this report.⁸

IV. MQRC ACTIVITIES AND STATISTICAL INFORMATION

In 2017, the MQRC met four times: February 6, May 8, August 14, and November 14. The MQRC reviewed a total of 268 reports of death, with 194 being administrative review cases. All the cases reviewed by the committee were from DDA. One of the cases from the November committee meeting went into the for-further-review category and did not close until Calendar Year 2018. Please note that not all the cases reviewed involved a death that occurred in Calendar Year 2017; the death may have occurred prior to 2017. The MQRC also reviewed the aggregate incident data for Calendar Year 2017.

⁷ “Aggregate incident data” means information or statistics maintained by OHCQ on the reported incidents of Level III serious injuries at health care facilities. Health-General Article § 5–801.

⁸ See *infra*, at 9–10.

Part One: Mortality

Table 1 compares the number of deaths that occurred in Calendar Year 2017 among individuals receiving DDA or BHA services to the number of deaths among all Maryland residents by age group. Data indicates that among all Maryland residents, the majority of deaths that occurred in 2017 were in the age range of 85 years and over, followed by those in the range of 75–84. By comparison, among people served by DDA, the majority of deaths in 2017 were in the age group of 55–64, followed by the age group 65–74. Among the people served by BHA, the majority of deaths in 2017 were in the age group of 55–64, followed by the age group of 45–54.

Number and distribution of deaths by age group

Table 1: Number of deaths of individuals receiving DDA or BHA services in 2017 compared to the number of deaths among all Marylanders by age group in 2017

Age Group (years)	Deaths of All Marylanders in 2017	Deaths of Individuals Receiving DDA Services in 2017	Deaths of Individuals Receiving BHA Services in 2017
<1	462	0	0
1–4	69	0	0
5–14	87	3	0
15–24	656	13	2
25–34	1,419	16	3
35–44	1,532	18	3
45–54	3,376	50	8
55–64	6,806	59	12
65–74	9,218	51	6
75–84	11,060	17	4
85+	15,318	3	1
Not stated	6	0	0
Male (all ages)	25,378	132	23
Female (all ages)	24,627	98	16
Total Deaths	50,009	230	39
Total Population	6,052,177	16,940	272,456

Table 2 and **figures 1–3** list the top 10 leading causes of death that occurred in Calendar Year 2017 among individuals receiving DDA and BHA services and compares those causes of death to the top 10 leading causes of death among all Maryland residents.

Table 2: Top 10 individual causes of deaths in 2017

Rank	Leading Causes of All Marylanders Deaths 2017	Leading Causes of DDA Deaths 2017	Leading Causes of BHA Deaths 2017
1	Diseases of the Heart	Diseases of the Heart	Diseases of the Heart
2	Malignant Neoplasm	Pneumonia	Respiratory Failure
3	Cerebrovascular Disease	Sepsis	Suicide
4	Accidents	Malignant Neoplasm	Accident
5	Chronis Lower Respiratory Diseases	Alzheimer's	Sepsis
6	Diabetes Mellitus	Cerebral Palsy	Homicide
7	Alzheimer's Disease	COPD	Renal Disease
8	Influenza and Pneumonia	Hypoxia	COPD
9	Septicemia	Seizure Disorder	Schizophrenia
10	Nephritis	Parkinson's Disease	Intoxication

Figure 1: Percent Distribution of Leading Causes of Death—All Maryland Residents 2017

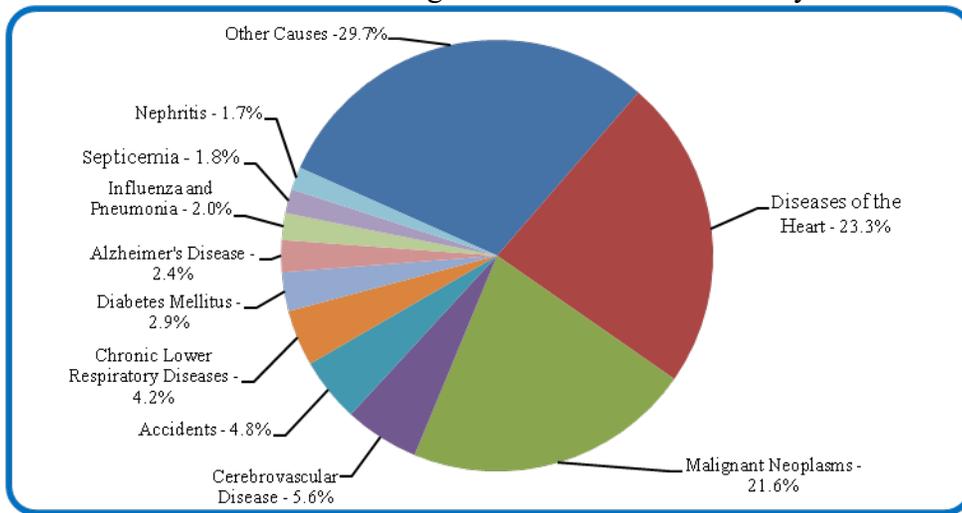


Figure 2: Percent Distribution of Leading Causes of Death—DDA 2017

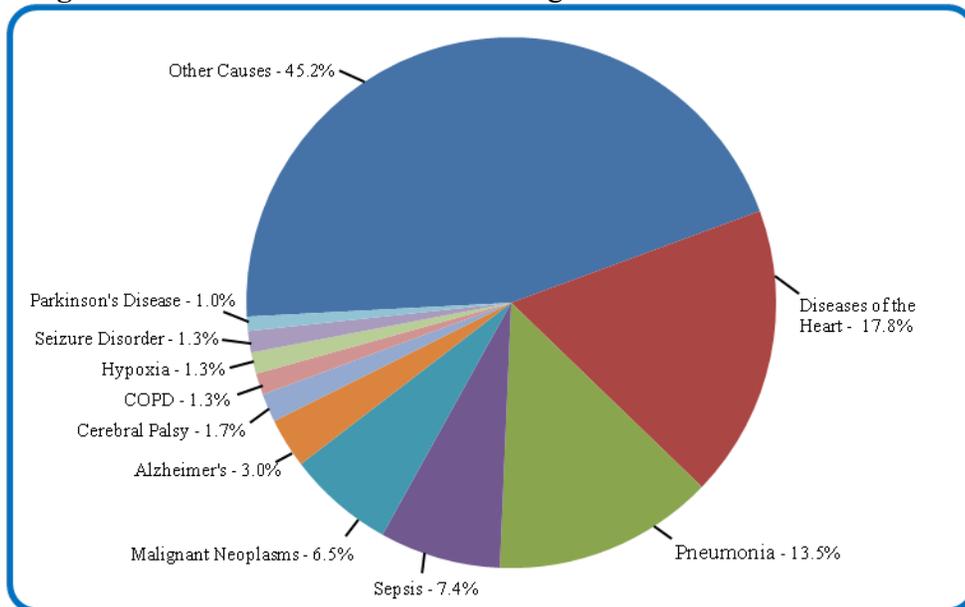


Figure 3: Percent Distribution of Leading Causes of Death—BHA 2017

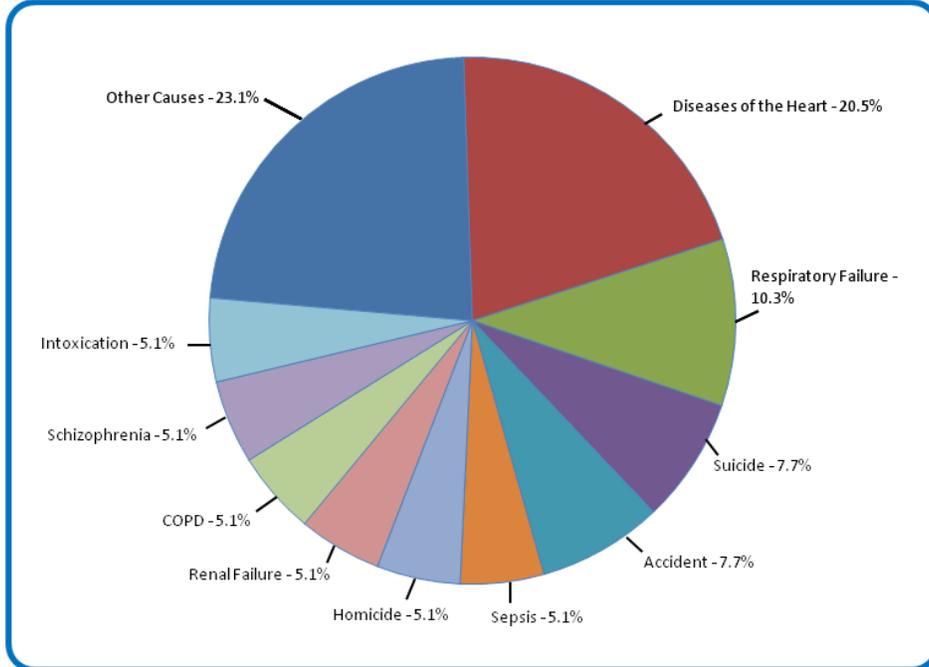


Table 3: Number of deaths of individuals receiving DDA or BHA services in 2016 compared to the number of deaths among all Marylanders by age group in 2016

Age Group (years)	Deaths of All Marylanders in 2016	Deaths of Individuals Receiving DDA Services in 2016	Deaths of Individuals Receiving BHA Services in 2016
<1	478	0	0
1-4	65	0	0
5-14	95	2	0
15-24	679	10	2
25-34	1,288	21	2
35-44	1,500	17	3
45-54	3,459	47	6
55-64	6,713	60	11
65-74	8,987	48	9
75-84	10,908	15	2
85+	14,698	0	2
Not stated	0	0	0
Male (all ages)	24,738	121	27
Female (all ages)	24,137	99	10
Total Deaths	48,884	220	37
Total Population	6,016,447	16,909	195,367

Table 4: Number of deaths of individuals receiving DDA or BHA services in 2015 compared to the number of deaths among all Marylanders by age group in 2015

Age Group (years)	Deaths of All Marylanders in 2015	Deaths of Individuals Receiving DDA Services in 2015	Deaths of Individuals Receiving BHA Services in 2015
<1	491	0	0
1-4	86	0	0
5-14	101	1	0
15-24	605	13	1
25-34	1,065	20	4
35-44	1,287	23	5
45-54	3,264	39	3
55-64	6,391	70	30
65-74	8,393	43	6
75-84	10,615	15	0
85+	14,932	2	0
Not stated	0	0	0
Male (all ages)	23,742	129	28
Female (all ages)	23,493	97	21
Total Deaths	47,235	226	49
Total Population	6,006,401	16,791	199,000

Tables 5 and 6 list the top 10 primary causes of death for years 2016 and 2015 among all residents of Maryland, and those served by DDA and BHA.

Table 5: Top 10 individual causes of the deaths 2016

Rank	Leading Causes of All Marylanders Deaths 2016	Leading Causes of DDA Deaths 2016	Leading Causes of BHA Deaths 2016
1	Diseases of the Heart	Pneumonia	Heart Disease
2	Malignant Neoplasms	Heart Disease	Malignant Neoplasm (Tie)
3	Cerebrovascular Disease	Malignant Neoplasm	Pneumonia (Tie)
4	Accidents	Respiratory Failure	Intoxication (Tie)
5	Chronic Lower Respiratory Diseases	Sepsis	Accident
6	Diabetes Mellitus	Alzheimer's	Other Causes
7	Alzheimer's Disease	Dementia	
8	Septicemia Influenza & Pneumonia	Cerebral Palsy (Tie)	
9	Septicemia	Down Syndrome (Tie)	
10	Nephritis	Renal Failure	

Table 6: Top 10 individual causes of the deaths 2015

Rank	Leading Causes of All Marylanders Deaths 2015	Leading Causes of DDA Deaths 2015	Leading Causes of BHA Deaths 2015
1	Heart Disease	Heart Disease	Heart Disease
2	Malignant Neoplasm	Pneumonia	Malignant Neoplasm
3	Cerebrovascular Diseases	Respiratory Failure	Suicide
4	Chronic Lower Respiratory Disease	Sepsis	COPD
5	Accidents	Malignant Neoplasm	Intoxication
6	Diabetes Mellitus	Cerebral Palsy	Pulmonary Failure
7	Influenza & Pneumonia	Alzheimer's	Cerebral Issues
8	Alzheimer's Disease	Septic Shock	Multiple Issues
9	Septicemia	Multiple Sclerosis	Septic Shock
10	Nephritis/Nephrosis	Failure to Thrive	Multiple Sclerosis

Part Two: Aggregate Incident Data

For providers supporting individuals with developmental disabilities, incidents are reportable according to guidelines established by DDA's Policy on Reportable Incidents and Investigations.⁹ From the many incidents reported, the OHCQ Triage Unit and the weekly Incident Screening Committee must determine which incidents are to be further investigated, and the priority for on-site investigation, with an immediate jeopardy priority investigation initiated within 2 working days of assignment, a high priority investigation initiated within 10 working days, and a medium level investigation initiated within 30 working days of assignment. Discriminations employed for investigation include the severity and type of incident reported, the track record of the licensee, characteristics and number of people served, 21-day internal reports, etc. Additionally, it should be noted that, although mandatory, incidents are self-reporting, resulting in some incidents going unreported.

Those incidents that are assigned for on-site investigation by OHCQ may yield a "substantiated" or "unsubstantiated" classification. In this context, "substantiated" means that the alleged incident (abuse, medication error, fracture, etc.), upon investigation, was found to have occurred. "Unsubstantiated" means that the alleged incident, upon investigation, did not occur. Each investigation may also result in a report called a Statement of Deficiencies. If no noncompliance issues are noted during the investigation, a closure letter stating that no deficient practices were noted is sent to the provider agency. When deficiencies are cited, the provider-licensee must submit for approval a plan of correction. If the agency's plan of correction is determined by OHCQ to be acceptable, no further action is required. If the plan of correction is not deemed acceptable, a revised plan of correction for the cited deficiencies is required. All immediate jeopardy priority investigations must receive follow-up review from OHCQ.¹⁰ A 10% targeted sample of incidents with a high priority classification will receive follow-up review.¹¹

⁹ Policy on Reportable Incidents and Investigations, *supra*, at 12–13. (Reportable incidents are reviewed within OHCQ according to guidelines formalized in the Report Incidents Section).

¹⁰ See *id.*, at 14.

¹¹ *Ibid.*

V. FINDINGS AND RECOMMENDATIONS

Finding #1: Among causes of death listed in the DDA mortality report have been diagnoses of the deceased person (e.g., Cerebral Palsy, Down Syndrome, etc.) as the primary cause of death. The information in the DDA mortality report, generated by OHCQ, is drawn from the official death certificates that were furnished to DDA.

Recommendation: Listing a diagnosis as a primary cause of death may conceal a clear end-of-life event that has not been addressed in the case of the person who died. The MQRC recommends greater clarity regarding the primary cause of death as recorded on death certificates for persons served by DDA. It is imperative that the real end-of-life event be captured on the death certificate as opposed to the diagnosis of the deceased person.

Finding #2: There have been several incidents where end of life could possibly be attributed to poor communication and understanding between the hospital or nursing home and the DDA provider during the discharge process.

Recommendation: That DDA create a focus group to look at data on premature discharges, and the nature of the interaction and information sharing between the healthcare provider and the DDA provider personnel.

Finding #3: There has been a notable increase in choking deaths over the past few years. DDA implemented training on choking and dysphasia several years ago that was effective in lowering this number, but the training is currently only required once.

Recommendation: That DDA revisit this important issue, and either do follow up communication through a memo or education alert and discuss the possibility of requiring a refresher training every few years to keep the information fresh. A further recommendation is that cardiopulmonary resuscitation (CPR) trainers are reminded to spend time training staff how to discern choking and airway obstruction more thoroughly, and to emphasize that a choking emergency is not “covered” in a do-not-resuscitate (DNR) order.

Finding #4: There are holes in the continuum of care for people who have co-occurring disorders (developmental disability, mental illness, and substance use disorders) and the service needs of these people are not being addressed.

Recommendation: There is a strong consensus on the committee to include this information in the annual MQRC report as a way of acknowledging that people who fall into the category of co-occurring disorders do not fit conveniently into any of the existing service categories, and that they are falling through the cracks in the existing systems. The strong emphasis of Governor Hogan in combating Maryland’s opioid crisis offers hope that his leadership may be a key part of addressing this issue in the DDA and BHA systems.

Finding #5: There are several examples of deaths in the DDA system where delay in performing CPR occurred due to a lack of breathing barriers on site.

Recommendation: Require that breathing barriers be included in the preparation kits of all DDA providers.

ATTACHMENT 1

2017

Annotated Code of Maryland

HEALTH-GENERAL ARTICLE

TITLE 5. DEATH

SUBTITLE 8. MORTALITY AND QUALITY REVIEW COMMITTEE.

§ 5-801. Definitions

- (a) In general. -- In this subtitle the following words have the meanings indicated.
- (b) Aggregate incident data. -- "Aggregate incident data" means information or statistics maintained by the Office of Health Care Quality on the reported incidents of Level III serious injuries at health care facilities.
- (c) Committee. -- "Committee" means the Mortality and Quality Review Committee.

HISTORY: 2000, ch. 470; 2006, ch. 268.

§ 5-802. Established; purpose

- (a) Established. -- There is a Mortality and Quality Review Committee established within the Department.
- (b) Purpose. -- The purpose of the Committee is to prevent avoidable injuries and avoidable deaths and to improve the quality of care provided to persons with developmental disabilities.

HISTORY: 2000, ch. 470; 2006, ch. 268.

§ 5-803. Duties

The Committee shall:

- (1) Evaluate causes or factors contributing to deaths in facilities or programs:
 - (i) Operated or licensed by the Developmental Disabilities Administration;
 - (ii) Licensed by the Behavioral Health Administration to provide mental health services; or
 - (iii) Operating by waiver under § 7-903(b) of this article;
- (2) Review aggregate incident data regarding facilities or programs that are licensed or operated by the Developmental Disabilities Administration or operating by waiver under § 7-903(b) of this article;
- (3) Identify patterns and systemic problems and ensure consistency in the review process; and
- (4) Make recommendations to the Secretary and the Secretary of Disabilities to prevent avoidable injuries and avoidable deaths and improve quality of care.

HISTORY: 2000, ch. 470; 2001, ch. 640; 2006, ch. 268; 2014, ch. 460; 2015, ch. 469.

§ 5-804. Composition; terms; removal; expenses; staff; chairperson; quorum; meetings

(a) Composition. -- The Committee shall consist of 18 members appointed by the Secretary, including the following:

- (1) A licensed physician who is board certified in an appropriate specialty;
- (2) A psycho pharmacologist;
- (3) A licensed physician on staff with the Department;
- (4) Two specialists, one in the field of developmental disabilities and one in the field of mental health;
- (5) Two licensed providers of community services, one for persons with developmental disabilities and one for persons with mental illnesses;
- (6) Two consumers, one with a developmental disability and one with a mental illness;
- (7) Two family members, one representing a consumer with a developmental disability and one representing a consumer with a mental illness;
- (8) The Deputy Secretary of Behavioral Health and Disabilities or the Deputy Secretary's designee;
- (9) The Director of the Office of Health Care Quality;
- (10) A licensed physician representative from the Medical Examiner's Office;
- (11) A licensed nurse who works with persons with developmental disabilities in a program operated by a State licensed provider in the community;
- (12) A member of an advocacy group for persons with disabilities; and
- (13) Two members of advocacy groups, one for persons with developmental disabilities and one for persons with mental illnesses.

(b) Terms. --

- (1) The term of each member appointed under subsection (a) (1), (2), (4), (5), (6), and (10) of this section is 3 years.
- (2) A member who is appointed after a term has begun serves only for the rest of the term and until a successor is appointed.
- (3) A member may not be appointed for more than two consecutive full terms.
- (4) The terms of the members are as follows:
 - (i) One-third of the members shall be appointed for terms of 3 years commencing October 1, 2000;
 - (ii) One-third of the members shall be appointed for terms of 2 years commencing October 1, 2000; and
 - (iii) One-third of the members shall be appointed for terms of 1 year commencing October 1, 2000.

(5) At the end of a term, a member continues to serve until a successor is appointed.

(c) Removal of member. -- The Secretary may remove any member of the Committee for good cause.

(d) Reimbursement for expenses. -- A member of the Committee:

- (1) May not receive compensation for service on the Committee; but
- (2) Is entitled to reimbursement for expenses under the Standard State Travel Regulations, as

provided in the State budget.

(e) Staff. -- The Committee shall be staffed by the Department.

(f) Membership limitations. --

(1) An employee of the Developmental Disabilities Administration or the Behavioral Health Administration may not be a member of the Committee or any subcommittee of the Committee.

(2) The Director of the Office of Health Care Quality may not serve on a subcommittee of the Committee or vote on the disposition of an individual mortality review that was previously reviewed by the Office of Health Care Quality.

(g) Chairperson. -- The Secretary shall select a chairperson from among the members of the Committee.

(h) Quorum. -- A quorum of the Committee shall be a majority of the appointed membership of the Committee.

(i) Frequency of meetings. -- The Committee shall meet not less than three times a year.

HISTORY: 2000, ch. 470; 2001, ch. 640; 2006, ch. 268; 2009, chs. 48, 49; 2014, ch. 460; ch. 539.

§ 5-805. Evaluation of deaths of certain service recipients with developmental disabilities

(a) Review of death of certain service recipients. --

(1) Except as provided in paragraph (3) of this subsection, the Office of Health Care Quality shall review each death of an individual with developmental disabilities or with a mental illness who, at the time of death, resided in or was receiving services from any program or facility licensed or operated by the Developmental Disabilities Administration or operating by waiver under § 7-903 (b) of this article, or any program approved, licensed, or operated by the Department under § 10-406 of this article or any program identified in § 10-713(A) of this article.

(2) The Office of Health Care Quality may not review the care or services provided in an individual's private home, except to the extent needed to investigate a licensed provider that offered services at that individual's home.

(3) Unless a member of the Committee requests a review, the Office of Health Care Quality may choose not to review a death if the circumstances, based on reasonable judgment, are readily explained and require no further investigation.

(b) Final report -- Submission. -- Within 14 days of the completion of each investigation, the Office of Health Care Quality shall submit to the Committee its final report for each death.

(c) Final report -- Review by Committee. -- The Committee shall:

(1) Review each death report provided by the Office of Health Care Quality; or

(2) Appoint a subcommittee of at least four members, one of whom shall be a licensed physician or nurse, to review death reports and report and make recommendations to the full Committee.

(d) Further investigation. --

(1) On review of the death report, if the Committee or its subcommittee determines that further investigation is warranted, the Committee or subcommittee may request additional information, including consumer records, medical records, autopsy reports, and any deficiency statements and

plans of correction.

(2) The Committee or subcommittee may choose to prepare questions for the provider, State residential center director, or other relevant person or may request the attendance of the provider, director, or other relevant person at a Committee or subcommittee meeting.

(3) Except as provided in paragraph (2) of this subsection, Committee members may not communicate directly with the provider, a State residential center director, a State psychiatric superintendent, or a family member or guardian of the individual who is the subject of a death report.

HISTORY: 2000, ch. 61, § 7; ch. 470; 2001, ch. 29, § 1; ch. 640; 2006, ch. 268; 2014, ch.460; 2015, ch. 469.

§ 5-806. Requests for information

Upon request of the chairman of the Committee or subcommittee, and as necessary to carry out the purpose of the Committee, the following shall immediately provide the Committee or subcommittee with access to information and records regarding an individual whose death is being reviewed:

- (1) A provider of medical care, including dental and mental health care;
- (2) A State or local government agency; and
- (3) A provider of residential or other services.

HISTORY: 2000, ch. 470; 2006, ch. 268.

§ 5-806.1. Office of Health Care Quality to provide and review aggregate incident data;

(a) Periodic data. --

(1) The Office of Health Care Quality shall provide aggregate incident data to the Committee once every 3 months.

(2) When providing aggregate incident data to the Committee, to the extent practicable, the Office of Health Care Quality shall identify trends and patterns that may threaten the health, safety, or well-being of an individual.

(b) Review. -- The Committee shall review the aggregate incident data and make findings and recommendations to the Department on system quality assurance needs.

(c) Consultants. -- The Committee may consult with experts as needed to carry out the provisions of this section.

HISTORY: 2006, ch. 268.

§ 5-807. Immunity from liability.

A person shall have the immunity from liability under § 5-637 of the Courts Article for any action as a member of the Committee or for giving information to, participating in, or contributing to the function of the Committee or subcommittee.

HISTORY: 2000, ch. 470; 2006, chs. 44, 268

§ 5-808. Annual public report; preliminary findings or recommendations

(a) Annual public report. --

(1) At least once in a calendar year, the Committee shall prepare a report for public distribution.

(2) The report shall include aggregate information that sets forth the numbers of deaths reviewed, the ages of the deceased, causes and circumstances of death, a review of aggregate incident data, a summary of the Committee's activities, and summary findings.

(3) Summary findings shall include patterns and trends, goals, problems, concerns, final recommendations, and preventative measures.

(4) Specific individuals and entities may not be identified in any public report.

(5) The Developmental Disabilities Administration shall provide the report to the facilities or programs that are operated or licensed by the Developmental Disabilities Administration or operating by waiver under § 7-903(b) of this article.

(b) Preliminary findings or recommendations. --

(1) In addition to the public report issued under subsection (a) of this section, the Committee or its subcommittee may at any time issue preliminary findings or make preliminary recommendations to the Secretary, the Secretary of Disabilities, the Director of the Developmental Disabilities Administration, the Director of the Behavioral Health Administration, or to the Director of the Office of Health Care Quality.

(2) Preliminary findings or recommendations shall be confidential and not discoverable or admissible under §1-401 of the Health Occupations Article.

HISTORY: 2000, ch. 470; 2002, ch. 19, §9; 2006, ch. 268; 2014, ch.460.

§5-809. Record keeping; confidentiality; discovery

(a) Maintenance of records. -- The Committee shall maintain records of its deliberations including any recommendations.

(b) Records generally confidential; independent information. --

(1) Except for the public report issued under §5-808(a) of this subtitle, any records of deliberations, findings, or files of the Committee shall be confidential and are not discoverable under §1-401 of the Health Occupations Article.

(2) This subsection does not prohibit the discovery of material, records, documents, or other information that was not prepared by the Committee or its subcommittee and was obtained independently of the Committee or subcommittee.

(c) Testimony of involved persons; independent information. --

(1) Members of the Committee or a subcommittee of the Committee, persons attending a Committee or subcommittee meeting, and persons who present information to the Committee or subcommittee may not be questioned in any civil or criminal proceeding regarding information presented in or opinions formed as a result of a meeting.

(2) This subsection does not prohibit a person from testifying to information obtained independently of the Committee or subcommittee or that is public information.

(d) Prohibition on disclosure by involved persons. -

(1) Except as necessary to carry out the Committee's purpose and duties, members of the

Committee or subcommittee and persons attending a Committee or subcommittee meeting may not disclose:

(i) What transpired at a meeting that is not public under this subtitle; or

(ii) Any information that is prohibited for disclosure by this section.

(2) This subsection does not prohibit the discovery of material, records, documents, or other information that was not prepared by the Committee or its subcommittee and was obtained independently of the Committee or subcommittee.

HISTORY: 2000, ch. 470; 2002, ch. 19, § 9; 2006, ch. 268.

§ 5-810. Closed meetings

Meetings of the Committee and subcommittees shall be closed to the public and not subject to Title 10, Subtitle 5 of the State Government Article.

HISTORY: 2000, ch. 470; 2006, ch. 268.

ATTACHMENT 2

2017 MQRC MEMBERSHIP

Committee Chair

- Stephanie Bell, licensed provider of community developmental disability services

Committee Membership

- Eric Levey, MD, board certified licensed physician
- Alan Sheehan, specialist in the field of developmental disabilities
- Laryssa Creswell, specialist in the field of mental health
- Jen Carberry, licensed provider of community mental health services
- Triniece Gillom, DDA Self-Advocate
- Clarissa Netter, BHA Self-Advocate
- Curtis Royster, Jr. family member representing a person with a developmental disability
- Rosamond Dove, family member representing a person with a mental illness
- Rhonda Callum, the Deputy Secretary of Behavioral Health designee
- Patricia Tomsco-Nay, MD, Director of OHCQ, Ex Officio
- Jed Miller, MD, Licensed Physician, Maryland Department of Health
- Patricia Aronica, MD, MD, licensed physician representative from the Medical Examiner's Office
- Florence Ndi, RN, licensed nurse who works with persons with developmental disabilities in a program operated by a State licensed provider in the community
- Carol Fried, a member of an advocacy group for persons with disabilities
- Jason Noel, Pharm.D, psychopharmacologist
- William Patten, member of a mental health advocacy group

Committee Counsel

- Kathleen A. Ellis, Deputy Counsel, Assistant Attorney General, Office of the Attorney General, Maryland Department of Health